

THE STATE BAR OF CALIFORNIA
OFFICE OF THE CHIEF TRIAL COUNSEL
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ORIGINAL

FILED

MVS
MAY 23 2012

STATE BAR COURT
CLERK'S OFFICE
LOS ANGELES

IN THE STATE BAR COURT OF THE STATE BAR OF CALIFORNIA

IN THE MATTER OF THE)	Case No. 11-C-16667
CONVICTION OF:)	
)	Transmittal of Records of Conviction of Attorney (Bus. & Prof.
SERGIO J. LOPEZ,)	Code §§ 6101-6102; Cal. Rules of Court, rule 9.5 et seq.)
No. 259288)	
)	<input type="checkbox"/> Felony;
)	<input type="checkbox"/> Crime(s) involved moral turpitude;
A Member of the State Bar)	<input type="checkbox"/> Probable cause to believe the crime(s) involved moral
)	turpitude;
)	<input checked="" type="checkbox"/> Crime(s) which may or may not involve moral turpitude or
)	other misconduct warranting discipline;
)	<input type="checkbox"/> Transmittal of Notice of Finality of Conviction.

To the CLERK OF THE STATE BAR COURT:

1. Transmittal of records.

- ☒ A. Pursuant to the provisions of Business and Professions Code, section 6101-6102 and California Rules of Court, rule 9.5 et seq., the Office of the Chief Trial Counsel transmits a certified copy of the record of convictions of the following member of the State Bar and for such consideration and action as the Court deems appropriate:
- ☐ B. Notice of Appeal
- ☐ C. Evidence of Finality of Conviction (Notice of Lack of Appeal)
- ☐ D. Other

Name of Member: Sergio J. Lopez

Date member admitted to practice law in California: December 2, 2008

Member's Address of Record: SJL Law Group
1600 N. Broadway, 7th Floor
Santa Ana, CA 92706

2. Date and court of conviction; offense(s).

The record of conviction reflects that the above-named member of the State Bar was convicted as follows:

Date of entry of conviction: April 19, 2012

Convicting court: Superior Court of California, County of Los Angeles



Case number(s): KA095567

Crime(s) of which convicted and classification(s): Violation of Penal Code § 166(a)(4) (Disobeying Court Order), one count, a misdemeanor which may or may not involve moral turpitude or other misconduct warranting discipline as in *In re Ross* (1990) 51 Cal. 3d 451.

☐ 3. Compliance with Rule 9.20. (Applicable only if checked.)

We bring to the Court's attention that, should the Court enter an order of interim suspension herein, the Court may wish to require the above-named member to comply with the provisions of rule 9.20, California Rules of Court, paragraph (a), within 30 days of the effective date of any such order; and to file the affidavit with the Clerk of the State Bar Court provided for in paragraph (c) of rule 9.20 within 40 days of the effective date of said order, showing the member's compliance with the provisions of rule 9.20.

☒ 4. Other information to assist the State Bar Court

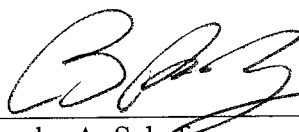
The jury acquitted Respondent on counts one, two, three and six and were deadlocked on count four. On April 27, 2012, pursuant to an oral motion of the defendant and oral representation of the People, count four was dismissed and will not be retried. Also, on April 27, 2012, the court set aside the jury's guilty verdict on count seven. Sentencing in this matter is set for June 7, 2012.

DOCUMENTS TRANSMITTED:

Information
Docket (conviction)

THE STATE BAR OF CALIFORNIA
OFFICE OF THE CHIEF TRIAL COUNSEL

DATED: 22 May 2012.

BY: 
Brooke A. Schafer
Senior Trial Counsel

A copy of this transmittal and its
Attachments have been sent to:

Sergio J. Lopez
SJL Law Group
1600 N. Broadway, 7th Floor
Santa Ana, CA 92706

DECLARATION OF SERVICE

by

U.S. FIRST-CLASS MAIL / U.S. CERTIFIED MAIL / OVERNIGHT DELIVERY / FACSIMILE-ELECTRONIC TRANSMISSION

CASE NUMBER(s): **11-C-16667**

I, the undersigned, am over the age of eighteen (18) years and not a party to the within action, whose business address and place of employment is the State Bar of California, 1149 South Hill Street, Los Angeles, California 90015, declare that:

- on the date shown below, I caused to be served a true copy of the within document described as follows:

TRANSMITTAL OF RECORDS OF CONVICTION OF ATTORNEY

☐

By U.S. First-Class Mail: (CCP §§ 1013 and 1013(a))

- in accordance with the practice of the State Bar of California for collection and processing of mail, I deposited or placed for collection and mailing in the City and County of Los Angeles.

☒

By U.S. Certified Mail: (CCP §§ 1013 and 1013(a))

☐

By Overnight Delivery: (CCP §§ 1013(c) and 1013(d))

- I am readily familiar with the State Bar of California's practice for collection and processing of correspondence for overnight delivery by the United Parcel Service ("UPS").

☐

By Fax Transmission: (CCP §§ 1013(e) and 1013(f))

Based on agreement of the parties to accept service by fax transmission, I faxed the documents to the persons at the fax numbers listed herein below. No error was reported by the fax machine that I used. The original record of the fax transmission is retained on file and available upon request.

☐

By Electronic Service: (CCP § 1010.6)

Based on a court order or an agreement of the parties to accept service by electronic transmission, I caused the documents to be sent to the person(s) at the electronic addresses listed herein below. I did not receive, within a reasonable time after the transmission, any electronic message or other indication that the transmission was unsuccessful.

☐

(for U.S. First-Class Mail) in a sealed envelope placed for collection and mailing at Los Angeles, addressed to: *(see below)*

☒

(for Certified Mail) in a sealed envelope placed for collection and mailing as certified mail, return receipt requested,

Article No.: 7160 3901 9849 2214 6118

at Los Angeles, addressed to: *(see below)*

☐

(for Overnight Delivery) together with a copy of this declaration, in an envelope, or package designated by UPS,

Tracking No.:

addressed to: *(see below)*

Person Served

Business-Residential Address

Fax Number

Courtesy Copy to:

SERJIO J. LOPEZ

1600 N. BROADWAY, 7TH FLOOR
SANTA ANA, CA 92706

Electronic Address

☐ via inter-office mail regularly processed and maintained by the State Bar of California addressed to:

N/A

I am readily familiar with the State Bar of California's practice for collection and processing of correspondence for mailing with the United States Postal Service, and overnight delivery by the United Parcel Service ("UPS"). In the ordinary course of the State Bar of California's practice, correspondence collected and processed by the State Bar of California would be deposited with the United States Postal Service that same day, and for overnight delivery, deposited with delivery fees paid or provided for, with UPS that same day.

I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date on the envelope or package is more than one day after date of deposit for mailing contained in the affidavit.

I declare under penalty of perjury, under the laws of the State of California, that the foregoing is true and correct. Executed at Los Angeles, California, on the date shown below.

DATED: May 23, 2012

SIGNED:

Genelle De Luca-Suarez
Genelle De Luca-Suarez
Declarant